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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Application

Inventor(s): Appln. No.:

Steve Mitchell 10/685,139

Confirm. No.: 4489

Filed:

October 14, 2003

Title: IN

INTERSPINOUS PROCESS AND SACRUM

IMPLANT AND METHOD

PATENT APPLICATION

Art Unit:

3732

Examiner:

Customer No. 23910

#### CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, PO. Box 1450, Art Unit 3732, Alexandria, Virginia 22313-1450, on September 14, 2004

(Attorney Signature)

Sheldon R. Meyer, Reg. No. 27,660 Signature Date: September 14, 2004

#### RESPONSE TO NOTICE TO FILE MISSING PARTS

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Art Unit 3732 Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted, dated <u>07/14/2004</u>, enclosed are the following documents in connection with the above-identified application:

 Copy of Notice to File Missing Parts Filing Date Granted
 Declaration for Patent Application
 Assignment and Assignment Recordation Form Cover Sheet
 Power of Attorney
 Petition for an Extension of Time pursuant to 37 C.F.R. §1.136(a) for responding to the Notice to File Missing Parts
 Information Disclosure Statement under 37 C.F.R. §1.56

Pre	lim	inary	Amendment
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# **Small Entity Statement**

Applicant(s) qualify for small entity status under 37 C.F.R. § 1.27.

# **Patent Application Filing Fee**

The patent application filing fee (if applicable) is calculated as shown below:

For	Number Filed	Number Extra	Rate Small Entity/Other Than Small Entity	Total
BASIC FEE (37 CFR 1.16(a))			\$385.00 \$770.00	\$
TOTAL CLAIMS (37 CFR 1.16(c))	20	*	X \$ 9.00 X \$ 18.00	\$
INDEPENDENT CLAIMS (37 CFR 1.16(b))	3	_*	X \$ 43.00 X \$ 86.00	\$
MULTIPLE DEPENDENT CLA (37 CFR 1.16(d))	AIM PRESENT	+ \$145.00 + \$290.00	\$	
			TOTAL	\$

<sup>\*</sup>If the difference in column 1 is less than zero, enter "0" in column 2.

# **Total Fee**

The Total Fee associated with this communication has been calculated as shown below:

	Patent application filing fee	\$
_	Net fee for extension of time	\$
_	Assignment recording fee (\$40.00)	\$
<u>/</u>	Surcharge under 37 C.F.R. §1.16(e) for	
_	late filing of filing fee or Declaration:	
	_ Large Entity \$130.00	\$
	✓ Small Entity \$ 65.00	\$65.00
TOTA	AL FEE DUE:	\$65.00

# **Method of Payment of Fees**

- ✓ A check in the amount of the TOTAL FEE DUE is enclosed.
- ✓ The Commissioner is hereby authorized to charge any deficiencies or credit overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: September 14, 2004

Bv·

Sheldon R. Meyer Reg No. 27,660

FLIESLER MEYER LLP

Four Embarcadero Center, Fourth Floor San Francisco, California 94111-4156 Telephone (415) 362-3800

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SEP 16 2004

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vinginia 22313-1450 www.uspto.gov

APPLICATION NUMBER 10/685,139

ILING OR 371 (c) DA

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

Steve Mitchell

KLYC-01075US1 SRM

**CONFIRMATION NO. 4489** 

Sheldon R. Meyer FLIESLER DUBB MEYER & LOVEJOY LLP Four Embarcadero Center Fourth Floor San Francisco, CA 94111-4156

**FORMALITIES LETTER** 

\*OC000000013237216\*

Date Mailed: 07/14/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

09/17/2004 MRHMED1 00000030 10685139

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A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE